

4,500+ Members who are key decision makers influencing more than 220,000 financial service professionals involved in alternative investments

Approximately 250 Broker-Dealer, RIA and Family Office Firms attend ADISA conferences each year

Over \$200 Billion in equity, serving more than 1 million investors, has been raised by ADISA sponsor members in the past two years alone

Almost 1,500 individual financial service professionals attend an ADISA event each year



ADISA Executive Summary

Education

- Leading edge conferences featuring key industry experts, educational panels and topical presentations
- Publication best practices, white papers and other educational resources, including the Guide to Alternative Investments
- Production of *Alternative Investments Quarterly*, featuring in-depth articles
- Opportunities for continuing education credits



Advocacy

- Direct action from association (lobbying on Capitol Hill, meeting with legislative and regulatory bodies)
- As a registered lobbyist, ADISA keeps members informed of legislative and regulatory issues.
- Issue monitoring—Grassroots—call to action for members, often via micro-sites with customizable letters
- Submissions of comment letters on industry issues to governing bodies and other associations

Core Principles



Networking

- Conference attendance is balanced, representing all sponsors, service providers and funding sources
- All events feature ample time dedicated for networking
- Conferences bring together a unique, diverse group of professionals under one roof for face-to-face connections
- ADISA conferences are held in easily accessible areas across the country



ADISA's volunteer leadership works for its members to maintain the integrity and reputation of this industry by promoting the highest ethical standards, providing education and networking opportunities, and in representing the industry in the public and political arenas.

700+ Attendees
2023 Spring Conference
April 24-26, 2023
Marriott Marquis San Diego Marina

200+ Attendees
2023 Alts Research & Due Diligence Forum
July 25-26, 2023
The Grand America Salt Lake City

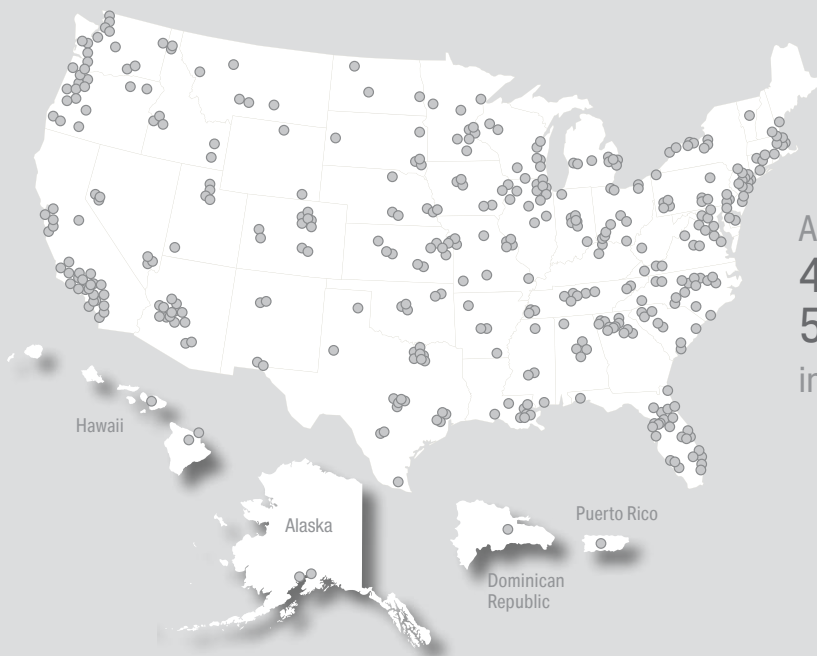
1,000+ Attendees
2023 Annual Conference & Trade Show
October 9-11, 2023
The Cosmopolitan of Las Vegas

Events



Members have the opportunity to learn about a variety of investment programs at each event.

- Real Estate Offerings
- Interval Funds
- Private & Public Funds
- Business Development Companies
- Mutual Funds
- Master Limited Partnerships
- Oil & Gas/Energy
- 1031 Exchanges
- Non-Traded REITs
- Impact Investing & ESG Funds
- Infrastructure & Opportunity Funds
- Regulation A & Other Programs
- Life Settlements



ADISA currently has approximately **4,500 members** from all **50 states** in various alternative investments professions.

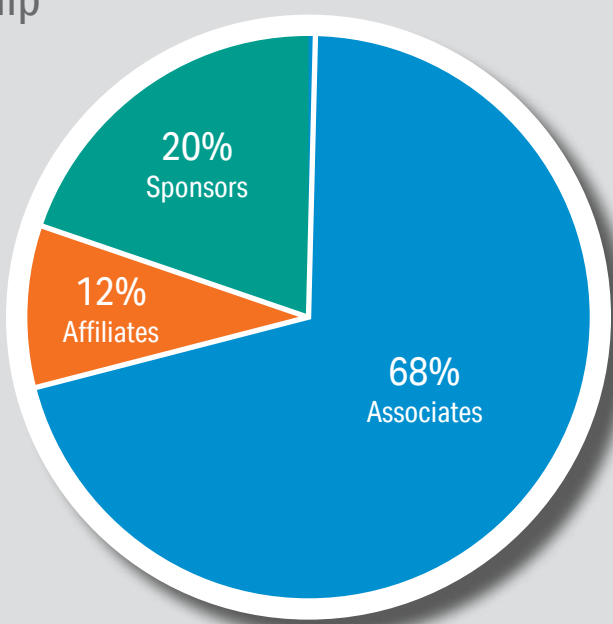
ADISA Membership

68% are Associates:

- Broker-Dealers
- RIAs
- Registered Reps
- Duall Registered
- Family Offices
- Investment Advisers
- Wealth Managers

12% are Affiliates:

- Law Firms
- Due Diligence Firms
- Technology Providers
- Qualified Intermediaries
- Marketing Firms



- Real Estate Firms
- Institutional Advisers
- Investment Bankers
- Other Partners

20% are Sponsors/Issuers of AI Products:

- Regulation D
- 1031 Like-Kind Exchanges
- Private Equity
- REITs
- Qualified Opportunity Zones
- Business Development Companies
- Regulation A+
- Oil & Gas
- Hedge Funds
- Others

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The Alternative & Direct Investment Securities Association (ADISA) is the nation's largest trade association for the alternative and direct investment industry, providing education, networking and advocacy for members.



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ADISA is a registered not-for-profit trade association (IRS 501c6) with a foundation (IRS 501c3), the ADISA Foundation, which provides college scholarships and support.